



**U3A RINGWOOD INCORPORATED**

35 – 39 Tortice Drive, Ringwood North,

Victoria, 3134, Australia

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Reg. No A0008300C A.B.N. 4419221576

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## **Notice of Annual General Meeting U3A Ringwood Inc.**

**The Annual General Meeting of U3A Ringwood Inc,  
will be conducted at the Kevin Pratt Pavilion**

*[Norwood Sporting Club - Mullum Reserve, 58 – 60 Mullum Mullum Rd, Ringwood North VIC 3134]*

**1.30pm**

on

**Tuesday 21 May 2024**

The Agenda will be –

- Opening
- Attendees
- Confirmation of Minutes of AGM held on 17 May 2023
- Acceptance of President's Report on Behalf of the Committee
- Acceptance of Financial Statements of the Association for 2023 Financial Year
- Election of Committee
- Special Resolution - Change to U3A Ringwood Rules
- Close of Meeting

Following the AGM you are invited to hear our guest speaker, David Bellette of Eastern Emergency Relief

You are then invited to join your Committee for afternoon tea.

## **Minutes of U3A Ringwood AGM Held on Tuesday 23 May 2023 at 1.30 pm**

### **Welcome**

The President, Daryl James, acknowledged the Traditional Owners of the land on which we conduct today's AGM and welcomed all members to the AGM, as well as guests Cr. Rob Steane, OAM, Mayor of City of Maroondah and Cr. Linda Hancock (Wonga Ward).

### **Attendance**

There were 40 members present and 15 apologies.

### **Minutes of 2022 AGM**

The Minutes of the 2022 AGM of 17 May 2022 as circulated with the Notice of the AGM was moved as accepted by Robert Jackson and seconded by Ruth Seferth. Carried.

### **President's Report**

As distributed with the Notice of AGM the President's Report was moved as accepted by Daryl James and seconded by Ruth Wilson. Carried.

Daryl also thanked all our Tutors for doing the work they do in conducting their classes and also mentioned review of our Covid Plan each month.

### **Treasurer's Report**

As distributed with the Notice of AGM the Treasurer's Report was moved as accepted by Carolyn Stone and seconded by Jennie Harvie. Carried.

### **Election of Committee**

As required under our rules, the AGM is required to set the number of Committee members for the ensuing year. It was proposed by Daryl James, seconded by Alex Robertson, that the general committee members consist of 6 people for 2023-2024.

As there was only one nomination received for the following positions Daryl declared all those members duly elected for 2023-24.

President – Daryl James  
Vice President – Alastair McCracken  
Secretary – Jeanette Cain  
Treasurer – Alex Robertson  
Committee Members – Lynn Blackbell  
Yvonne Bruinsma  
Heather McCracken  
Doug Parlee  
Richard Prior  
Peter Wakeham

There being no further business the meeting closed at 1.50 pm and then Jeanette Cain welcomed our Guest Speaker Cr. Linda Hancock.

## **President's Report on behalf of the Committee of U3A Ringwood Inc.**

On behalf of the Committee of U3A Ringwood Inc., it is my pleasure to report on our 2023 activities.

By all measures, 2023 was once again a very successful year for U3A Ringwood Inc.

We were very pleased to hold a number of very popular presentations featuring special guest speakers, on topics of particular relevance to our members.

We had officers from the Realm who spoke about the changing role and facilities of libraries, Stephen Morton, Partner, McCracken and McCracken, Lawyers who spoke on the importance of making a valid Will and later in the year Life Saving Victoria made 2 very popular presentations on basic first and the use of a defibrillator. Councilor Linda Hancock (Wonga Ward) also made very well received presentation about the changing role of women in the Victorian police force, following our 2023 AGM

We were successful in gaining a grant under the Maroondah City Council's Community Grants Funding Program to fund a significant portion of our ongoing printer maintenance, consumables and our internet.

We were also very pleased to be 'in the running' for a 2023 Federal Government Stronger Communities Grant (which was supported by Michael Sukkar MP, Federal Member for Deakin) for the purchase of chairs with arms for our classrooms at the Parkwood community Hub. The outcome will not be known until April 2024

Our Treasurer, Alex Robertson once again had great success in gaining specific purpose grants.

Over 70 members attended our very enjoyable Christmas lunch at the Dorset Gardens Hotel on 23 November 2023. We are pleased to report that that 'Prizes' were donated by Michael Sukkar, MP, Federal Member for Deakin, Nicole Werner MP, State Member for Warrandyte, Bunnings Warehouse – Ringwood; the Dorset Gardens Hotel, Linda Robertson and members of the Committee.

Throughout the year we continued to maintain a very conservative Covid19 policy, mandating that vaccination against Covid19 was a prerequisite for attending all face-to-face classes, due to our members falling into a demographic that is considered to have a high risk of serious illness should they contract Covid19. This policy was eased to reflect changes in the Governments' 'Living with Covid' policy and community expectations, when enrolments opened in December 2023, for our 2024 classes.

We conducted 3 very successful sausage sizzles. 2 at Bunnings' Ringwood Warehouse and 1 at the Maroondah Council outdoor film evening at B J Hubbard Reserve, which together, raised around \$1700 – thank you to the small band of volunteers who cooked and sold sausages.

Our Class Leaders, once again were fantastic, volunteering their time, week after week, to prepare and provide our 39 very stimulating classes. Without their support we would have nothing to offer members.

I wish to personally thank all members of our very hard working 2023/2024 Committee - Alastair McCracken as Vice President, Jeanette Cain as Secretary, Alex Robertson as Treasurer and UMAS expert, Richard Prior (Course Co-ordinator), Peter Wakeham (Course Coordinator and Membership Secretary), Lynn Blackbell, Yvonne Bruinsma and Heather McCracken (our Publicity Team), Doug Parlee (UMAS Back Up).

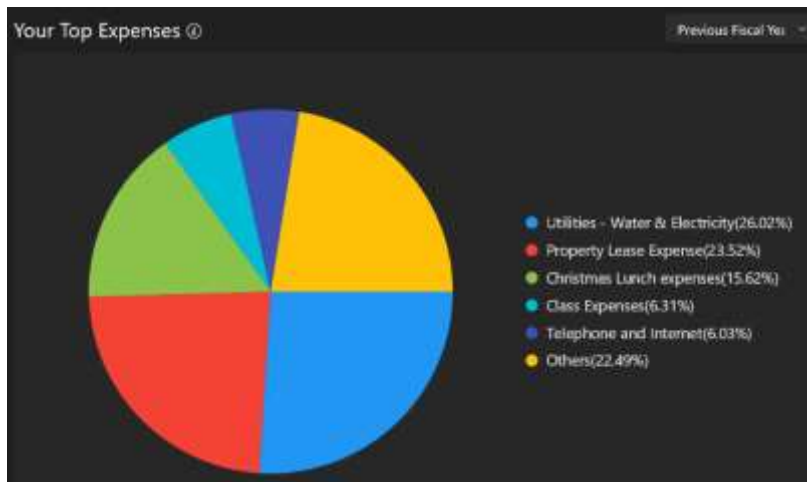
The Committee also acknowledges the valued contribution made by Graham De Haan, who has once again audited our financial records, Linda Robertson and Jan Hanos who performed the role of catering managers at the Kevin Pratt Pavilion and Parkwood respectively, Harry Bedwell who regularly tidied up the surrounds of the Kevin Pratt Pavilion and Parkwood, as well as the Norwood Sporting Club, with which we have developed a very cooperative and effective working relationship.

Lastly, but certainly not least, we acknowledge the Councillors and officers of the Maroondah Council, who support us and recognise the important role that U3A Ringwood plays contributing to healthy aging in the City of Maroondah and its surrounds.

Daryl James  
President

# 2023 TREASURER'S REPORT

## 2023 Top Expenses Summary



Further information on all expense categories can be obtained from the detailed P &L report in this document or from the Treasurer. Christmas lunch expenses are mainly covered by ticket sales.

Income is mainly derived from member fees, fund raising and grants. Member fees are predominately received in the Dec- Mar period annually.

## 2023 Explanatory Notes


- 1) We received \$750 Maroondah Council grant to assist with our operating expenses
- 2) The 2023 profit and loss reports do NOT include 2023 membership fees received in Q422 as they appeared in our 2022 financial records. This is a common feature of our financial records as receipt of next year's membership fees are from 1<sup>st</sup> December annually.
- 3) Our Banking arrangements for 2024 remain unchanged other than we no longer have a short-term deposit account. The following balance transfers were also made early in January 2024:
  - i. \$4000 has been transferred from the no-interest cheque to the interest-bearing business online savings account.
  - ii. Our 12 months term deposit has been renewed for 12 months at 4.75% for principal and interest. \$5000 has been added to it from the business online savings account.
- 4) Fixed asset purchase expenses are generally fully covered by grants received.
- 5) The Property Lease expense category covers all three premises we lease and may include utility costs in the property lease cost. Differences in 2022/2023 EOFY property lease and utility costs reflect a greater separation of utility costs from lease costs in 2023. The reduced 2023 Parkwood annual lease was also not paid until January 2024.
- 6) We no longer cover our contents/assets risk via a contents insurance policy. We now self-insure. This is due to very high premiums, low replacement costs, council provided building security and a very low claim history. We pay more than the expected contents insurance premium into to our 12 months term deposit (on renewal) to have sufficient funds to cover losses incurred
- 7) Public Liability and other business risks are covered via free VMIA insurance arranged through U3A Network Victoria
- 8) Fixed assets are no longer listed on the balance sheet. They are recorded in a separate Asset system.
  - I. Replacement Value is based on original cost, years owned and indicative inflation rate. It was used for "new for old" insurance policies. However, we are unlikely to buy new if we suffer a partial or full asset loss. Many of our assets were not new when purchased by us.
  - II. Depreciated Value is based on original cost, years owned and average depreciation rate for items classification. It is used for risk management purposes related to partial or full asset loss. It is an estimate of how much our assets are worth if we were to sell them or recover from a full or partial loss.

- 9) U3A Ringwood has no liabilities and is a not-for-profit Incorporated entity.
- 10) We fundraised in 2023 via the Christmas Raffle (mainly to subsidise the Christmas lunch), two BBQ events at Bunnings Ringwood and one at a Maroondah Council film event in BJ Hubbard Reserve, North Ringwood
- 11) The grants we receive are not ongoing and are competitive. There is no expectation that U3A Ringwood Inc will be successful every year. We have a grant application of \$2,500 for news chairs, with arms, for Classroom 1 at Parkwood.
- 12) A summary of our financial books is published yearly on the ACNC web site.
- 13) The 2022 \$350 Book Club fee was not paid until Jan 2023. FY2023 therefore had the 2022 and 2023 fee charges making a \$700 difference between each year EOFY balances.
- 14) 2022 fund raising was mainly the 2022 Christmas Raffle and \$500 from a visit to Cherry Tree Retirement Home. Both have no expense associated with them so a zero 2022 Fund Raising balance in 2022 is appropriate. 2023 had two Bunnings fund raising BBQs which do have expenses.
- 15) The major expenses under "Meals, Gift and Entertainment" expenses were gifts to guest speakers at our 2023 presentations, attendance at the annual Maroondah Council Women's Day Breakfast and to NRCH for managing our access cards.

### Accommodation

U3A Ringwood has a Community Facility License with the Maroondah Council for its use of its rooms at the Parkwood Community Hub which commenced on 1 July 2022 and is for a 5-year duration. U3A Ringwood has the option to extend the License for a further 5 years by giving Maroondah Council written notice that it wishes to exercise that option, not earlier than 1 January 2027 and not later than 1 April 2027.

A Facility Hire Agreement is in place with the Norwood Sporting Club for our use of the Kevin Pratt Pavilion and with the 4<sup>th</sup> Ringwood Scout Group for our use of the 4<sup>th</sup> Ringwood Scout Hall, which are both renewed on an annual basis.



**Audit Statement**

**To the Committee and members of U3A Ringwood Inc**

I have examined the books and records for U3A Ringwood Inc and find as follows:

In my opinion, the books and records give a true and fair representation of the financial performance of U3A Ringwood Inc for the year ended **31<sup>st</sup> December 2023**; and the financial position of U3A Ringwood Inc as at **31<sup>st</sup> December 2023**.

Signed: \_\_\_\_\_ Name GRAHAM DE HAN

Dated: 31, 3, 2024

# U3A Ringwood Inc

## Balance Sheet

Basis: Accrual

	31/12/2022	31/12/2023
Account	Total	Total
<b>Assets</b>		
<b>Current Assets</b>		
<b>Cash</b>		
Petty Cash	202.65	110.25
<b>Total for Cash</b>	<b>202.65</b>	<b>110.25</b>
<b>Bank</b>		
Bendigo Bank Business Savings	0.00	13,435.97
Bendigo Bank Ringwood- Cash & Cheques	15,590.76	4,060.36
Bendigo Bank Term Deposit 1YR	0.00	15,000.00
Sandhurst Select 90 Fund	13,990.97	5,156.48
<b>Total for Bank</b>	<b>29,581.73</b>	<b>37,652.81</b>
<b>Other current assets</b>		
Bonds Paid - Refundable	200.00	200.00
<b>Total for Other current assets</b>	<b>200.00</b>	<b>200.00</b>
<b>Total for Current Assets</b>	<b>29,984.38</b>	<b>37,963.06</b>
<b>Total for Assets</b>	<b>29,984.38</b>	<b>37,963.06</b>
<b>Liabilities &amp; Equities</b>		
<b>Equities</b>		
Owner's Equity	31,678.23	31,678.23
Current Year Earnings	3,046.04	7,978.68
Retained Earnings	-4,739.89	-1,693.85
<b>Total for Equities</b>	<b>29,984.38</b>	<b>37,963.06</b>
<b>Total for Liabilities &amp; Equities</b>	<b>29,984.38</b>	<b>37,963.06</b>

\*\*Amount is displayed in your base currency **AUD**

# U3A Ringwood Inc

## Profit and Loss

Basis: Cash

	Jan 2022 - Dec 2022	Jan 2023 - Dec 2023
Account	Total	Total
<b>Operating Income</b>		
Asset Sales	194.55	0.00
Christmas Lunch Ticket Sales	2,485.00	2,448.00
Class Fees Received	0.00	175.00
Fund Raising	840.39	2,511.70
Grants - Federal	3,400.00	0.00
Grants - Local Government	750.00	750.00
Interest Income	102.96	369.70
Membership Fees	13,480.08	16,426.20
Refunds Received	0.00	75.00
Tea Money Income	14.85	0.00
<b>Total for Operating Income</b>	<b>21,267.83</b>	<b>22,755.60</b>
<b>Cost of Goods Sold</b>		
<b>Total for Cost of Goods Sold</b>	<b>0.00</b>	<b>0.00</b>
<b>Gross Profit</b>	<b>21,267.83</b>	<b>22,755.60</b>
<b>Operating Expense</b>		
Christmas Lunch expenses	2,481.20	2,276.90
Class Expenses	271.55	920.21
Class Leader Conferences/Lunch	1,270.00	742.30
Cleaning Expense	4.80	14.50
Fixed Assets Purchased	1,825.43	76.88
Fund Raising Costs	0.00	648.52
Meals, Gifts and Entertainment	291.95	305.40
Office Supplies	30.37	317.44
PayPal, Zeller and Bank Fees	167.31	204.54
Printing and copying	612.68	34.50
Property Lease Expense	7,481.80	3,428.00

Account	Jan 2022 - Dec 2022	Jan 2023 - Dec 2023
	Total	Total
Refunds Paid	70.00	268.90
Repairs and Maintenance	0.00	58.15
Sundry Expenses	576.73	198.95
Tea Money Expenses	68.30	35.65
Telephone and Internet	990.15	879.06
U3A Network Fees	364.00	408.00
Utilities - Water & Electricity	1,715.52	3,791.02
Web Site Expense	0.00	168.00
<b>Total for Operating Expense</b>	<b>18,221.79</b>	<b>14,776.92</b>
<b>Operating Profit</b>	<b>3,046.04</b>	<b>7,978.68</b>
<b>Non Operating Income</b>		
<b>Total for Non Operating Income</b>	<b>0.00</b>	<b>0.00</b>
<b>Non Operating Expense</b>		
<b>Total for Non Operating Expense</b>	<b>0.00</b>	<b>0.00</b>
<b>Net Profit/Loss</b>	<b>3,046.04</b>	<b>7,978.68</b>

\*\*Amount is displayed in your base currency **AUD**



## 2023 Fixed Assets Summary

Asset Type	Original Cost	New for Old Replacement Cost	Estimated Current Value
Audio Visual	\$14,276.98	\$16,633.98	\$1,360.25
Communications	\$1,587.82	\$1,696.82	\$776.27
Computers	\$10,588.80	\$12,126.80	\$1,195.00
Exercise items	\$2,448.27	\$2,818.27	\$1,523.93
Fire Safety / first Aid	\$83.77	\$117.77	\$30.00
Fixtures	\$2,002.14	\$2,365.14	\$1,108.29
Furniture	\$15,509.66	\$17,642.66	\$10,155.18
Kitchen & Cleaning	\$3,111.95	\$3,712.95	\$450.00
Miscellaneous	\$1,753.51	\$2,174.51	\$253.00
Office Use	\$455.00	\$487.00	\$365.75
Presentation Items	\$512.00	\$682.00	\$54.30
Printers	\$4,668.50	\$5,320.50	\$180.00
Small portable Items	\$950.30	\$1,048.30	\$261.40
Specific Tutor Use	\$2,275.35	\$2,785.35	\$1,012.30
<b>Grand Total</b>	<b>\$60,224.05</b>	<b>\$69,612.05</b>	<b>\$18,725.68</b>

**Original Cost** is the asset's purchase price regardless if brand new or pre-owned.

**New For Old Replacement cost** is estimated cost to replace assets based on estimated current prices. It is calculated using original cost, an average inflation rate and years held. This value is used for contents insurance purposes.

**Estimated Current Value** is calculated value of assets if sold now. It is based on original cost, an estimated depreciation rate (per asset type) and numbers of years held. It is also estimated cost to replace items for those of equivalent age. This is the amount we self-insure for.

**SCHEDULE 1**  
**Regulation 15**

**FORM I**

Associations Incorporation Reform Act 2012 Sections 94(2)(b), 97(2)(b) and  
100(2)(b)

**ANNUAL STATEMENTS GIVE TRUE AND FAIR VIEW OF FINANCIAL POSITION OF  
INCORPORATED ASSOCIATION**

We, Daryl James and Jeanette Cain, being members of the Committee of the U3A  
Ringwood Incorporated certify that-

The statements attached to this certificate give a true and fair view of the financial  
position of U3A Ringwood Incorporated during and at the end of the financial year  
of the association ending on 31 December 2023.

Signed: .....  .....

Date: 5/4/2024.

Signed .....  .....

Date: 5/4/2024

## Proposed Changes to U3A Ringwood Inc Rules

### Presented to 2024 AGM for Approval

#### Summary

Recently Consumer Affairs Victoria issued new Model Rules for Not for Profit Organisations.

As U3A Ringwood's rules are based on the Model Rules, your Committee has formed the view that that its current rules can be enhanced by making minor changes to amongst other things, reflect new provisions in the Model Rules.

Accordingly, it is proposed to:

- 1 To vary 'clause 2 – Purposes' by amending our eligibility requirements for membership to simplify and reflect our target audience. The proposal is to change the eligibility criteria from:  
*Persons who are at or about retirement age, are no longer in full time work and who support the purposes of the Association are eligible to be a member of the Association:*  
  
*Any person who:*
  - (a) *Is retired or semi-retired; and*
  - (b) *is 55 years of age or over; and*
  - (c) *Supports the purposes of the Association;**is eligible for membership*
2. To vary Clause 4 – 'Definitions', to include additional definitions contained in the new Model Rules.
3. To vary Clause 11 – 'New Membership' to reflect changes in the new 'Model Rules'.
4. To vary Clause 23 – 'Appeal rights', to clarify appeal rights for any member who may be disciplined to reflect the provision in the new 'Model Rules'
5. To vary Clause 35 – 'Use of Technology', to make minor changes to reflect the provision in the new 'Model Rules'.
6. To vary Clause 41 – 'Minutes of General Meeting', to include the requirement to retain copies of Proxy forms provided at the AGM as is required under the new 'Model Rules'.
7. To vary Clause 51 – 'Nominations', to amend the requirements for nominations for committee positions at the AGM, to ensure that nominations are made in writing 7 days prior to the meeting (existing practice) and not at the AGM itself, to assist with the smooth running of the AGM.
8. To vary Clause 70 – 'Financial Records', to include additional requirements for financial record keeping to reflect the provision in the new Model rules

A Copy of the proposed Amended Rules, showing marked changes is provided hereunder for approval at the 2024 AGM

# U3A RINGWOOD INC.

Registration No. A0008300C

## CONSTITUTION & RULES

(Presented to the U3A Ringwood Inc. AGM for Approval on 21 May 2024)

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# Constitution & Rules of U3A Ringwood Inc.

## Note

The persons who from time to time are members of the Association are an incorporated association by the name given in rule 1 of these Rules.

Under section 46 of the **Associations Incorporation Reform Act 2012**, these Rules are taken to constitute the terms of a contract between the Association and its members.

## PART 1—PRELIMINARY

### 1 Name

The name of the incorporated association is "U3A Ringwood Incorporated".

#### Note

Under section 23 of the Act, the name of the association and its registration number must appear on all its business documents.

### 2 Purposes

~~The purpose of the Association is to advance the education, welfare, health and wellbeing of persons who are at or about retirement age, are no longer in full time work and support the purposes of the Association, by:~~

The purpose of the Association is to advance the education, welfare, health and wellbeing of persons who are retired or semi-retired, are 55 years of age or over and support the purposes of the Association, by:

- (1) providing educational programs and opportunities for life-long learning;
- (2) providing programs which advance healthy, active and positive ageing;
- (3) encouraging and providing opportunities for people to volunteer and share knowledge, skills and experience;
- (4) generally acting as a self-help body of volunteers interested in their own and community education; and
- (5) providing accommodation, facilities and services for the above purposes.

### 3 Financial year

The financial year of the Association is each period of 12 months ending on 31 December.

### 4 Definitions

In these Rules—

***absolute majority***, of the Committee, means a majority of the committee members currently holding office and entitled to vote at the time (as distinct from a majority of committee members present at a committee meeting);

***appeal subcommittee*** means a subcommittee appointed under rule 23(3);

***associate member*** means a member referred to in rule 14(1);

***Chairperson***, of a general meeting or committee meeting, means the person chairing the meeting as required under rule 46;

***Committee*** means the Committee having management of the business of the Association;

***committee meeting*** means a meeting of the Committee held in accordance with these Rules;

***committee member*** means a member of the Committee elected or appointed under Division 3 of Part 5;

***disciplinary appeal meeting*** means a meeting of the appeal subcommittee convened for the purposes of rule 23.3 ;

***disciplinary meeting*** means a meeting of the Committee convened for the purposes of rule 22;

***disciplinary subcommittee*** means the subcommittee appointed under rule 20;

***financial year*** means the 12-month period specified in rule 3;

***general meeting*** means a general meeting of the members of the Association convened in accordance with Part 4 and includes an annual general meeting and a special general meeting;

***member*** means a member of the Association;

***member entitled to vote*** means a member who under rule 13(2) is entitled to vote at a general meeting;

***special resolution*** means a resolution that requires not less than three-quarters of the members voting at a general meeting, whether in person or by proxy, to vote in favour of the resolution;

***the Act*** means the **Associations Incorporation Reform Act 2012** and includes any regulations made under that Act;

***the Registrar*** means the Registrar of Incorporated Associations.

## **PART 2—POWERS OF ASSOCIATION**

### **5 Powers of Association**

- (1) Subject to the Act, the Association has power to do all things incidental or conducive to achieve its purposes.
- (2) Without limiting subrule (1), the Association may—
  - (a) acquire, hold and dispose of real or personal property; or
  - (b) open and operate accounts with financial institutions; or
  - (c) invest its money in any security in which trust monies may lawfully be invested; or
  - (d) raise and borrow money on any terms and in any manner as it thinks fit; or
  - (e) secure the repayment of money raised or borrowed, or the payment of a debt or liability; or
  - (f) appoint agents to transact business on its behalf; or
  - (g) enter into any other contract it considers necessary or desirable.
- (3) The Association may only exercise its powers and use its income and assets (including any surplus) for its purposes.

### **6 Not for profit organisation**

- (1) The Association must not distribute any surplus, income or assets directly or indirectly to its members.
- (2) Subrule (1) does not prevent the Association from paying a member—
  - (a) reimbursement for expenses properly incurred by the member; or
  - (b) for goods or services provided by the member—if this is done in good faith on terms no more favourable than if the member was not a member.

**Note**

Section 33 of the Act provides that an incorporated association must not secure pecuniary profit for its members. Section 4 of the Act sets out in more detail the circumstances under which an incorporated association is not taken to secure pecuniary profit for its members.

## **PART 3—MEMBERS, DISCIPLINARY PROCEDURES AND GRIEVANCES**

### **Division 1—Membership**

#### **7 Minimum number of members**

The Association must have at least 5 members.

#### **8 Who is eligible to be a member**

~~—Persons who are at or about retirement age, are no longer in full time work and who support the purposes of the Association are eligible to be a member of the Association.~~

Any person who:

- (d) Is retired or semi-retired; and
- (e) is 55 years of age or over; and
- (f) Supports the purposes of the Association;

is eligible for membership

#### **9 Application for membership**

- (1) To apply to become a member of the Association, a person must submit a written application to a committee member stating that the person—
  - (a) wishes to become a member of the Association; and
  - (b) supports the purposes of the Association; and
  - (c) agrees to comply with these Rules.
- (2) The application—
  - (a) must be signed by the applicant; and
  - (b) may be accompanied by the joining fee.

**Notes**

The joining fee is the fee (if any) determined by the Association under rule 12(3).

<sup>1</sup> A requirement for a signature of a person may be met by electronic signature. See section 9 of the **Electronic Transactions (Victoria) Act 2000**.



## 10 Consideration of application

- (1) As soon as practicable after an application for membership is received, the Committee must decide by resolution whether to accept or reject the application.
- (2) The Committee must notify the applicant in writing of its decision as soon as practicable after the decision is made.
- (3) If the Committee rejects the application, it must return any money accompanying the application to the applicant.
- (4) The Committee is not required to give a reason for the rejection of an application.

### Note

An association may have obligations under Division 6 of Part 4 of the **Equal Opportunity Act 2010**.

## 11 New membership

- (1) If an application for membership is approved by the Committee—
  - (a) the resolution to accept the membership must be recorded in the minutes of the committee meeting; and
  - (b) the Secretary must, as soon as practicable, ensure the name and address of the new member, and the date on which they became a member, is recorded in the register of members.
- ~~(2) A person becomes a member of the Association from the date on which both of the following have occurred—
  - ~~(a) the Committee approves the person's membership; and~~
  - ~~(b) the person pays the joining fee.~~~~
- (2) A person becomes a member of the Association and, subject to rule 13(2), is entitled to exercise his or her rights of membership from the date, whichever is the later, on which—
  - (a) the Committee approves the person's membership; or
  - (b) the person pays the joining fee.
- (3) Subject to rule 13(2), a person is entitled to exercise their rights of membership from the date referred to in subrule (2).

## 12 Annual subscription and fee on joining

- (1) No later than 30 September each year the Committee must determine—
  - (a) the amount of the annual subscription (if any) for the following financial year; and
  - (b) the date for payment of the annual subscription.
- (2) The Committee may determine that no annual subscription is payable (and if applicable, no joining fee is payable), for persons while appointed by the Committee as a class leader.
- (3) The Association may determine that a lower annual subscription is payable by associate members.

- (4) The Association may determine that any new member who joins after the start of a financial year must, for that financial year, pay a fee equal to—
  - (a) the full annual subscription; or
  - (b) a pro rata annual subscription based on the remaining part of the financial year; or
  - (c) a fixed amount determined from time to time by the Committee.
- (5) The rights of a member (including the right to vote) who has not paid the annual subscription by the due date are suspended until the subscription is paid.

### **13 General rights of members**

- (1) A member of the Association who is entitled to vote has the right—
  - (a) to receive notice of general meetings and of proposed special resolutions in the manner and time prescribed by these Rules; and
  - (b) to submit items of business for consideration at a general meeting; and
  - (c) to attend and be heard at general meetings; and
  - (d) to vote at a general meeting; and
  - (e) to have access to the minutes of general meetings and other documents of the Association as provided under rule 75; and
  - (f) to inspect the register of members.
- (2) A member is entitled to vote if—
  - (a) the member is a member other than an associate member; and
  - (b) more than 10 business days have passed since the member became a member of the Association; and
  - (c) the member's membership rights are not suspended for any reason.

### **14 Associate members**

- (1) Associate members of the Association include any category of member as determined by special resolution at a general meeting.
- (2) An associate member must not vote but may have other rights as determined by the Committee or by resolution at a general meeting.

### **15 Rights not transferable**

The rights of a member are not transferable and end when membership ceases.

### **16 Ceasing membership**

- (1) The membership of a person ceases on resignation, expulsion or death.
- (2) If a person ceases to be a member of the Association, the Secretary must, as soon as practicable, enter the date the person ceased to be a member in the register of members.

### **17 Resigning as a member**

- (1) A member may resign by notice in writing given to the Association.

#### **Note**

Rule 74(3) sets out how notice may be given to the association. It includes by post or by handing the notice to a member of the committee.

- (2) A member is taken to have resigned if—
  - (a) the member's annual subscription is more than 12 months in arrears; or
  - (b) where no annual subscription is payable—
    - (i) the Secretary has made a written request to the member to confirm that the member wishes to remain a member; and
    - (ii) the member has not, within 3 months after receiving that request, confirmed in writing that the member wishes to remain a member.

## **18 Register of members**

- (1) The Secretary must keep and maintain a register of members that includes—
  - (a) for each current member—
    - (i) the member's name; and
    - (ii) the address for notice last given by the member; and
    - (iii) the email address last given by the member (if available); and
    - (iv) the date of becoming a member; and
    - (v) if the member is an associate member, a note to that effect; and
    - (vi) any other information determined by the Committee; and
  - (b) for each former member, the name of the person and the date on which the person ceased to be a member of the Association.
- (2) Any member may, at a reasonable time and free of charge, inspect the register of members.

### **Note**

Under section 59 of the Act, access to the personal information of a person recorded in the register of members may be restricted in certain circumstances. Section 58 of the Act provides that it is an offence to make improper use of information about a person obtained from the register of members.

## **Division 2—Disciplinary action**

### **19 Grounds for taking disciplinary action**

The Association may take disciplinary action against a member in accordance with this Division if it is determined that the member—

- (a) has failed to comply with these Rules; or
- (b) refuses to support the purposes of the Association; or
- (c) has engaged in conduct prejudicial to the Association.

### **20 Disciplinary subcommittee**

- (1) If the Committee is satisfied that there are sufficient grounds for taking disciplinary action against a member, the Committee must appoint a disciplinary subcommittee to hear the matter and determine what action, if any, to take against the member.
- (2) Subject to subrule (3), the Committee may appoint any person to a disciplinary subcommittee.

- (3) A person must not be appointed to a disciplinary subcommittee if the person is biased in favour of or against the member concerned.

## **21 Notice to member**

- (1) Before disciplinary action is taken against a member, the Secretary must give written notice to the member—
  - (a) stating that the Association proposes to take disciplinary action against the member; and
  - (b) stating the grounds for the proposed disciplinary action; and
  - (c) specifying the date, place and time of the meeting at which the disciplinary subcommittee intends to consider the disciplinary action (the *disciplinary meeting*); and
  - (d) advising the member that the member may do one or both of the following—
    - (i) attend the disciplinary meeting and address the disciplinary subcommittee at that meeting;
    - (ii) give a written statement to the disciplinary subcommittee at any time before the disciplinary meeting; and
  - (e) setting out the member's appeal rights under rule 23.
- (2) The notice must be given no earlier than 28 days, and no later than 14 days, before the disciplinary meeting is held.

## **22 Decision of subcommittee**

- (1) At the disciplinary meeting, the disciplinary subcommittee must—
  - (a) give the member an opportunity to be heard; and
  - (b) consider any written statement submitted by the member.
- (2) After complying with subrule (1), the disciplinary subcommittee may—
  - (a) take no further action against the member; or
  - (b) subject to subrule (3)—
    - (i) reprimand the member; or
    - (ii) suspend the membership rights of the member for a specified period; or
    - (iii) expel the member from the Association.
- (3) The disciplinary subcommittee may not fine the member.
- (4) The suspension of membership rights or the expulsion of a member by the disciplinary subcommittee under this rule takes effect immediately after the vote is passed.

## **23 Appeal rights**

- (1) A person whose membership rights have been suspended or who has been expelled from the Association under rule 22 may give notice to the effect that the person wishes to appeal against the suspension or expulsion.
- (2) The notice must be in writing and given—

- (a) to the disciplinary subcommittee immediately after the vote to suspend or expel the person is taken; or
  - (b) to the Secretary not later than ~~48 hours~~ 7 days after the vote.
- (3) If a person has given notice under subrule (2), the Committee must appoint at least 3 persons to an appeal subcommittee to consider the appeal.
  - (4) Subject to subrule (5), the Committee may appoint any person to an appeal subcommittee.
  - (5) A person must not be appointed to an appeal subcommittee if the person—
    - (a) was appointed to the disciplinary subcommittee to hear and determine the matter of the member concerned; or
    - (b) has a personal interest in the dispute; or
    - (c) is biased in favour of or against the member concerned.
  - (6) The committee must convene a meeting of the appeal subcommittee (the *disciplinary appeal meeting*) as soon as practicable and no later than 21 days after the notice of the appeal is received.
  - (7) Notice of the disciplinary appeal meeting must be given to each member of the appeal subcommittee and the member concerned as soon as practicable and must—
    - (a) specify the date, time and place of the meeting; and
    - (b) state—
      - (i) the name of the person against whom the disciplinary action has been taken; and
      - (ii) the grounds for taking that action; and
      - (iii) that at the disciplinary appeal meeting the appeal subcommittee members present must vote on whether the decision to suspend or expel the person should be upheld or revoked.

#### **24 Conduct of disciplinary appeal meeting**

- (1) At a disciplinary appeal meeting—
  - (a) no business other than the question of the appeal may be conducted; and
  - (b) the appeal subcommittee must state the grounds for suspending or expelling the member and the reasons for taking that action; and
  - (c) the person whose membership has been suspended or who has been expelled must be given an opportunity to be heard.
- (2) After complying with subrule (1), members of the appeal subcommittee present and entitled to vote at the meeting must vote by secret ballot on the question of whether the decision to suspend or expel the person should be upheld or revoked.
- (3) A member may not vote by proxy at the meeting.
- (4) The decision is upheld if a majority of the persons voting at the meeting vote in favour of the decision.

### **Division 3—Grievance procedure**

## **25 Application**

- (1) The grievance procedure set out in this Division applies to disputes under these Rules between—
  - (a) a member and another member; and
  - (b) a member and the Committee; and
  - (c) a member and the Association.
- (2) A member must not initiate a grievance procedure in relation to a matter that is the subject of a disciplinary procedure until the disciplinary procedure has been completed.

## **26 Parties must attempt to resolve the dispute**

The parties to a dispute must attempt to resolve the dispute between themselves within 14 days of the dispute coming to the attention of each party.

## **27 Appointment of mediator**

- (1) If the parties to a dispute are unable to resolve the dispute between themselves within the time required by rule 26, the parties must within 10 days—
  - (a) notify the Committee of the dispute; and
  - (b) agree to or request the appointment of a mediator; and
  - (c) attempt in good faith to settle the dispute by mediation.
- (2) The mediator must be—
  - (a) a person chosen by agreement between the parties; or
  - (b) in the absence of agreement—
    - (i) if the dispute is between a member and another member—a person appointed by the Committee; or
    - (ii) if the dispute is between a member and the Committee or the Association— a person appointed or employed by the Dispute Settlement Centre of Victoria.
- (3) Subject to subrule (4), the Committee may appoint any person as a mediator.
- (4) The Committee must not appoint a person as a mediator if the person—
  - (a) has a personal interest in the dispute; or
  - (b) is biased in favour of or against any party.

## **28 Mediation process**

- (1) The mediator to the dispute, in conducting the mediation, must—
  - (a) give each party every opportunity to be heard; and
  - (b) allow due consideration by all parties of any written statement submitted by any party; and
  - (c) ensure that natural justice is accorded to the parties throughout the mediation process.
- (2) The mediator must not determine the dispute.

## **29 Failure to resolve dispute by mediation**

If the mediation process does not resolve the dispute, the parties may seek to resolve the dispute in accordance with the Act or otherwise at law.

### **PART 4—GENERAL MEETINGS OF THE ASSOCIATION**

## **30 Annual general meetings**

- (1) The Committee must convene an annual general meeting of the Association to be held within 5 months after the end of each financial year.
- (2) Despite subrule (1), the Association may hold its first annual general meeting at any time within 18 months after its incorporation.
- (3) The Committee may determine the date, time and place of the annual general meeting.
- (4) The ordinary business of the annual general meeting is as follows—
  - (a) to confirm the minutes of the previous annual general meeting and of any special general meeting or general meeting held since then;
  - (b) to receive and consider—
    - (i) the annual report of the Committee on the activities of the Association during the preceding financial year; and
    - (ii) the financial statements of the Association for the preceding financial year submitted by the Committee in accordance with Part 7 of the Act;
  - (c) to elect the members of the Committee;
- (5) The annual general meeting may also conduct any other business of which notice has been given in accordance with these Rules.

## **31 Special general meetings**

- (1) Any general meeting of the Association, other than an annual general meeting or a disciplinary appeal meeting, is a special general meeting.
- (2) The Committee may convene a special general meeting whenever it thinks fit.
- (3) No business other than that set out in the notice under rule 33 may be conducted at the meeting.

### **Note**

General business may be considered at the meeting if it is included as an item for consideration in the notice under rule 33 and the majority of members at the meeting agree.

## **32 Special general meeting held at request of members**

- (1) The Committee must convene a special general meeting if a request to do so is made in accordance with subrule (2) by at least 10% of the total number of members.
- (2) A request for a special general meeting must—
  - (a) be in writing; and
  - (b) state the business to be considered at the meeting and any resolutions to be proposed; and

- (c) include the names and signatures of the members requesting the meeting;  
and
  - (d) be given to the Secretary.
- (3) If the Committee does not convene a special general meeting within one month after the date on which the request is made, the members making the request (or any of them) may convene the special general meeting.
- (4) A special general meeting convened by members under subrule (3)—
- (a) must be held within 3 months after the date on which the original request was made; and
  - (b) may only consider the business stated in that request.
- (5) The Association must reimburse all reasonable expenses incurred by the members convening a special general meeting under subrule (3).

### **33 Notice of general meetings**

- (1) The Secretary (or, in the case of a special general meeting convened under rule 32(3), the members convening the meeting) must give to each member of the Association—
- (a) at least 21 days' notice of a general meeting if a special resolution is to be proposed at the meeting; or
  - (b) at least 14 days' notice of a general meeting in any other case.
- (2) The notice must—
- (a) specify the date, time and place of the meeting; and
  - (b) indicate the general nature of each item of business to be considered at the meeting; and
  - (c) if a special resolution is to be proposed—
    - (i) state in full the proposed resolution; and
    - (ii) state the intention to propose the resolution as a special resolution;  
and
  - (d) comply with rule 34(5).
- (3) This rule does not apply to a disciplinary appeal meeting.

#### **Note**

Rule 23(4) sets out the requirements for notice of a disciplinary appeal meeting.

### **34 Proxies**

- (1) A member may appoint another member as the member's proxy to vote and speak on the member's behalf at a general meeting other than at a disciplinary appeal meeting.
- (2) The appointment of a proxy must be in writing and signed by the member making the appointment.
- (3) The member appointing the proxy may give specific directions as to how the proxy is to vote on the member's behalf, otherwise the proxy may vote on behalf of the member in any matter as the proxy sees fit.



- (4) If the Committee has approved a form for the appointment of a proxy, the member may use any other form that clearly identifies the person appointed as the member's proxy and that has been signed by the member.
- (5) Notice of a general meeting given to a member under rule 33 must—
  - (a) state that the member may appoint another member as a proxy for the meeting; and
  - (b) include a copy of any form that the Committee has approved for the appointment of a proxy.
- (6) A form appointing a proxy must be given to the Chairperson of the meeting before or at the commencement of the meeting.
- (7) A form appointing a proxy sent by post or electronically is of no effect unless it is received by the Association no later than 24 hours before the commencement of the meeting.

### **35 Use of technology**

~~(1) A member not physically present at a general meeting may be permitted to participate in the meeting by the use of technology that allows that member and the members present at the meeting to clearly and simultaneously communicate with each other.~~

- (1) A general meeting may be held and members may take part by the use of technology that allows members to clearly and simultaneously communicate with each other participating member.
- (2) For the purposes of this Part, a member participating in a general meeting as permitted under subrule (1) is taken to be present at the meeting and, if the member votes at the meeting, is taken to have voted in person.

### **36 Quorum at general meetings**

- (1) No business may be conducted at a general meeting unless a quorum of members is present.
- (2) The quorum for a general meeting is the presence (physically, by proxy or as allowed under rule 35) of 10% of the members entitled to vote.
- (3) If a quorum is not present within 30 minutes after the notified commencement time of a general meeting—
  - (a) in the case of a meeting convened by, or at the request of, members under rule 32—the meeting must be dissolved; or

#### **Note**

If a meeting convened by, or at the request of, members is dissolved under this subrule, the business that was to have been considered at the meeting is taken to have been dealt with. If members wish to have the business reconsidered at another special meeting, the members must make a new request under rule 32.

- (b) in any other case—
  - (i) the meeting must be adjourned to a date not more than 21 days after the adjournment; and

(ii) notice of the date, time and place to which the meeting is adjourned must be given at the meeting and confirmed by written notice given to all members as soon as practicable after the meeting.

- (4) If a quorum is not present within 30 minutes after the time to which a general meeting has been adjourned under subrule (3)(b), the members present at the meeting (if not fewer than 3) may proceed with the business of the meeting as if a quorum were present.

### **37 Adjournment of general meeting**

- (1) The Chairperson of a general meeting at which a quorum is present may, with the consent of a majority of members present at the meeting, adjourn the meeting to another time at the same place or at another place.
- (2) Without limiting subrule (1), a meeting may be adjourned—
- (a) if there is insufficient time to deal with the business at hand; or
  - (b) to give the members more time to consider an item of business.

#### **Example**

The members may wish to have more time to examine the financial statements submitted by the Committee at an annual general meeting.

- (3) No business may be conducted on the resumption of an adjourned meeting other than the business that remained unfinished when the meeting was adjourned.
- (4) Notice of the adjournment of a meeting under this rule is not required unless the meeting is adjourned for 14 days or more, in which case notice of the meeting must be given in accordance with rule 33.

### **38 Voting at general meeting**

- (1) On any question arising at a general meeting—
- (a) subject to subrule (3), each member who is entitled to vote has one vote; and
  - (b) members may vote personally or by proxy; and
  - (c) except in the case of a special resolution, the question must be decided on a majority of votes.
- (2) If votes are divided equally on a question, the Chairperson of the meeting has a second or casting vote.
- (3) If the question is whether or not to confirm the minutes of a previous meeting, only members who were present at that meeting may vote.
- (4) This rule does not apply to a vote at a disciplinary appeal meeting conducted under rule 24.

### **39 Special resolutions**

A special resolution is passed if not less than three-quarters of the members voting at a general meeting (whether in person or by proxy) vote in favour of the resolution.

#### **Note**

In addition to certain matters specified in the Act, a special resolution is required—

- (a) to remove a committee member from office ;

- (b) to alter these Rules, including changing the name or any of the purposes of the Association.

#### **40 Determining whether resolution carried**

- (1) Subject to subrule (2), the Chairperson of a general meeting may, on the basis of a show of hands, declare that a resolution has been—
  - (a) carried; or
  - (b) carried unanimously; or
  - (c) carried by a particular majority; or
  - (d) lost—and an entry to that effect in the minutes of the meeting is conclusive proof of that fact.
- (2) If a count is demanded by 3 or more members on any question—
  - (a) the count must be taken at the meeting in the manner determined by the Chairperson of the meeting; and
  - (b) the Chairperson must declare the result of the resolution on the basis of the count.
- (3) A count demanded on the election of the Chairperson or on a question of an adjournment must be taken immediately.
- (4) A count demanded on any other question must be taken before the close of the meeting at a time determined by the Chairperson.

#### **41 Minutes of general meeting**

- (1) The Committee must ensure that minutes are taken and kept of each general meeting.
- (2) The minutes must record the business considered at the meeting, any resolution on which a vote is taken and the result of the vote.
- (3) In addition, the minutes of each annual general meeting must include—
  - (a) the names of the members attending the meeting; and
  - (b) proxy forms given to the Chairperson of the meeting under rule 34(6); and
  - (c) the financial statements submitted to the members in accordance with rule 30(4)(b)(ii); and
  - (d) the certificate signed by 2 committee members certifying that the financial statements give a true and fair view of the financial position and performance of the Association; and
  - (e) any audited accounts and auditor's report or report of a review accompanying the financial statements that are required under the Act.

### **PART 5—COMMITTEE**

#### **Division 1—Powers of Committee**

#### **42 Role and powers**

- (1) The business of the Association must be managed by or under the direction of a Committee.

- (2) The Committee may exercise all the powers of the Association except those powers that these Rules or the Act require to be exercised by general meetings of the members of the Association.
- (3) The Committee may—
  - (a) appoint and remove staff; and
  - (b) establish subcommittees consisting of members with terms of reference it considers appropriate.

#### **43 Delegation**

- (1) The Committee may delegate to a member of the Committee, a subcommittee or staff, any of its powers and functions other than—
  - (a) this power of delegation; or
  - (b) a duty imposed on the Committee by the Act or any other law.
- (2) The delegation must be in writing and may be subject to the conditions and limitations the Committee considers appropriate.
- (3) The Committee may, in writing, revoke a delegation wholly or in part.

#### **Division 2—Composition of Committee and duties of members**

#### **44 Composition of Committee**

The Committee consists of—

- (a) a President; and
- (b) a Vice-President; and
- (c) a Secretary; and
- (d) a Treasurer; and
- (e) ordinary members (if any) elected under rule 53.

#### **45 General Duties**

- (1) As soon as practicable after being elected or appointed to the Committee, each committee member must become familiar with these Rules and the Act.
- (2) The Committee is collectively responsible for ensuring that the Association complies with the Act and that individual members of the Committee comply with these Rules.
- (3) Committee members must exercise the member's powers and discharge the member's duties with reasonable care and diligence.
- (4) Committee members must exercise the member's powers and discharge the member's duties—
  - (a) in good faith in the best interests of the Association; and
  - (b) for a proper purpose.
- (5) Committee members and former committee members must not make improper use of—
  - (a) the member's, or former member's, position; or

- (b) information acquired by virtue of holding the member's, or former member's, position—

so as to gain an advantage for themselves or any other person or to cause detriment to the Association.

**Note**

See also Division 3 of Part 6 of the Act which sets out the general duties of the office holders of an incorporated association.

- (6) In addition to any duties imposed by these Rules, a committee member must perform any other duties imposed from time to time by resolution at a general meeting.

**46 President and Vice-President**

- (1) Subject to subrule (2), the President or, in the President's absence, the Vice-President is the Chairperson for any general meetings and for any committee meetings.
- (2) If the President and the Vice-President are both absent, or are unable to preside, the Chairperson of the meeting must be—
  - (a) in the case of a general meeting—a member elected by the other members present; or
  - (b) in the case of a committee meeting—a committee member elected by the other committee members present.

**47 Secretary**

- (1) The Secretary must perform any duty or function required under the Act to be performed by the secretary of an incorporated association.

**Example**

Under the Act, the secretary of an incorporated association is responsible for lodging documents of the association with the Registrar.

- (2) The Secretary must—
  - (a) maintain the register of members in accordance with rule 18; and
  - (b) keep custody of the common seal (if any) of the Association and, except for the financial records referred to in rule 70(3), all books, documents and securities of the Association in accordance with rules 72 and 75; and
  - (c) subject to the Act and these Rules, provide members with access to the register of members, the minutes of general meetings and other books and documents; and
  - (d) perform any other duty or function imposed on the Secretary by these Rules.
- (3) The Secretary must give to the Registrar notice of the Secretary's appointment within 14 days after the appointment.

**48 Treasurer**

- (1) The Treasurer must—
  - (a) receive all moneys paid to or received by the Association and issue receipts for those moneys in the name of the Association; and

- (b) ensure that all moneys received are paid into the account of the Association within 5 working days after receipt; and
  - (c) make any payments authorised by the Committee or by a general meeting of the Association from the Association's funds; and
  - (d) ensure cheques are signed by at least 2 committee members.
- (2) The Treasurer must—
- (a) ensure that the financial records of the Association are kept in accordance with the Act; and
  - (b) coordinate the preparation of the financial statements of the Association and the Association's certification by the Committee prior to the Association's submission to the annual general meeting of the Association.
- (3) The Treasurer must ensure that all other committee members have access to the accounts and financial records of the Association.

### **Division 3—Election of Committee members and tenure of office**

#### **49 Who is eligible to be a Committee member**

A member is eligible to be elected or appointed as a committee member if the member is entitled to vote at a general meeting.

#### **50 Positions to be declared vacant**

- (1) This rule applies to—
- (a) the first annual general meeting of the Association after its incorporation; or
  - (b) any subsequent annual general meeting of the Association, after the annual report and financial statements of the Association have been received.
- (2) The Chairperson of the meeting must declare all positions on the Committee vacant and hold elections for those positions in accordance with rules 51 to 54.

#### **51 Nominations**

~~(1) Prior to the election of each position, the Chairperson of the meeting must call for nominations to fill that position.~~

~~(2) An eligible member of the Association may—~~

~~(a) nominate himself or herself; or~~

~~(b) with the member's consent, be nominated by another member.~~

(1) Prior to the election of each position, the Chairperson of the meeting must advise members present of the nominations received to fill that position.

(2) Nominations for candidates must have been:

(a) made in writing on the appropriate form, be nominated by a member entitled to vote and include the written consent of the candidate; and

(b) delivered to the Secretary not less than 7 days before the annual general meeting.

- (3) A member who is nominated for a position and fails to be elected to that position may be nominated for any other position for which an election is yet to be held.
- (4) If no nominations have been received in writing, the Chairperson will call for nominations from eligible members at the meeting

## **52 Election of President etc.**

- (1) At the annual general meeting, separate elections must be held for each of the following positions—
  - (a) President;
  - (b) Vice-President;
  - (c) Secretary;
  - (d) Treasurer.
- (2) If only one member is nominated for the position, the Chairperson of the meeting must declare the member elected to the position.
- (3) If more than one member is nominated, a ballot must be held in accordance with rule 54.
- (4) On the President's election, the new President may take over as Chairperson of the meeting.

## **53 Election of ordinary members**

- (1) The annual general meeting must by resolution decide the number of ordinary members of the Committee (if any) it wishes to hold office for the next year.
- (2) A single election may be held to fill all of those positions.
- (3) If the number of members nominated for the position of ordinary committee member is less than or equal to the number to be elected, the Chairperson of the meeting must declare each of those members to be elected to the position.
- (4) If the number of members nominated exceeds the number to be elected, a ballot must be held in accordance with rule 54.

## **54 Ballot**

- (1) If a ballot is required for the election for a position, the Chairperson of the meeting must appoint a member to act as returning officer to conduct the ballot.
- (2) The returning officer must not be a member nominated for the position.
- (3) Before the ballot is taken, each candidate may make a short speech in support of the candidate's election.
- (4) The election must be by secret ballot.
- (5) If the election is held in person, the returning officer must give a blank piece of paper to—
  - (a) each member present in person; and
  - (b) each proxy appointed by a member.

### **Example**

If a member has been appointed the proxy of 5 other members, the member must be given 6 ballot papers—one for the member and one each for the other members.

- (6) If the ballot is for a single position, the voter must write on the ballot paper the name of the candidate for whom they wish to vote.
- (7) If the ballot is for more than one position—
  - (a) the voter must write on the ballot paper the name of each candidate for whom they wish to vote;
  - (b) the voter must not write the names of more candidates than the number to be elected.
- (8) Ballot papers that do not comply with subrule (7)(b) are not to be counted.
- (9) Each ballot paper on which the name of a candidate has been written counts as one vote for that candidate.
- (10) The returning officer must declare elected the candidate or, in the case of an election for more than one position, the candidates who received the most votes.
- (11) If the returning officer is unable to declare the result of an election under subrule (10) because 2 or more candidates received the same number of votes, the returning officer must—
  - (a) conduct a further election for the position in accordance with subrules (4) to (10) to decide which of those candidates is to be elected; or
  - (b) with the agreement of those candidates, decide by lot which of them is to be elected.

**Examples**

The choice of candidate may be decided by the toss of a coin, drawing straws or drawing a name out of a hat.

**55 Term of office**

- (1) Subject to subrule (3) and rule 56, a committee member holds office until the positions of the Committee are declared vacant at the next annual general meeting.
- (2) A committee member may be re-elected.
- (3) A general meeting of the Association may—
  - (a) by special resolution remove a committee member from office; and
  - (b) elect an eligible member of the Association to fill the vacant position in accordance with this Division.
- (4) A member who is the subject of a proposed special resolution under subrule (3)(a) may make representations in writing to the Secretary or President of the Association (not exceeding a reasonable length) and may request that the representations be provided to the members of the Association.
- (5) The Secretary or the President may give a copy of the representations to each member of the Association or, if they are not so given, the member may require that they be read out at the meeting at which the special resolution is to be proposed.

**56 Vacation of office**

- (1) A committee member may resign from the Committee by written notice addressed to the Committee.



- (2) A person ceases to be a committee member if the person—
  - (a) ceases to be a member of the Association; or
  - (b) fails to attend 3 consecutive committee meetings (other than special or urgent committee meetings) without leave of absence under rule 67; or
  - (c) otherwise ceases to be a committee member by operation of section 78 of the Act.

**Note**

A Committee member may not hold the office of Secretary if they do not reside in Australia.

**57 Filling casual vacancies**

- (1) The Committee may appoint an eligible member of the Association to fill a position on the Committee that—
  - (a) has become vacant under rule 56; or
  - (b) was not filled by election at the last annual general meeting.
- (2) If the position of Secretary becomes vacant, the Committee must appoint a member to the position within 14 days after the vacancy arises.
- (3) Rule 55 applies to any committee member appointed by the Committee under subrule (1) or (2).
- (4) The Committee may continue to act despite any vacancy in its membership.

**Division 4—Meetings of Committee**

**58 Meetings of Committee**

- (1) The Committee must meet at least 4 times in each year at the dates, times and places determined by the Committee.
- (2) The date, time and place of the first committee meeting must be determined by the members of the Committee as soon as practicable after the annual general meeting of the Association at which the members of the Committee were elected.
- (3) Special committee meetings may be convened by the President or by any 4 members of the Committee.

**59 Notice of meetings**

- (1) Notice of each committee meeting must be given to each committee member no later than 7 days before the date of the meeting.
- (2) Notice may be given of more than one committee meeting at the same time.
- (3) The notice must state the date, time and place of the meeting.
- (4) If a special committee meeting is convened, the notice must include the general nature of the business to be conducted.
- (5) The only business that may be conducted at the meeting is the business for which the meeting is convened.

**60 Urgent meetings**

- (1) In cases of urgency, a meeting can be held without notice being given in accordance with rule 59 provided that as much notice as practicable is given to each committee member by the quickest means practicable.

- (2) Any resolution made at the meeting must be passed by an absolute majority of the Committee.
- (3) The only business that may be conducted at an urgent meeting is the business for which the meeting is convened.

#### **61 Procedure and order of business**

- (1) The procedure to be followed at a meeting of a Committee must be determined from time to time by the Committee.
- (2) The order of business may be determined by the members present at the meeting.

#### **62 Use of technology**

- (1) A committee meeting may be held by the use of technology that allows committee members to clearly and simultaneously communicate with each other participating member.
- (2) For the purposes of this Part, a committee member participating in a committee meeting as permitted under subrule (1) is taken to be present at the meeting and, if the member votes at the meeting, is taken to have voted in person.

#### **63 Quorum**

- (1) No business may be conducted at a Committee meeting unless a quorum is present.
- (2) The quorum for a committee meeting is the presence (in person or as allowed under rule 62) of a majority of the committee members holding office.
- (3) If a quorum is not present within 30 minutes after the notified commencement time of a committee meeting—
  - (a) in the case of a special meeting—the meeting lapses;
  - (b) in any other case—the meeting must be adjourned to a date no later than 14 days after the adjournment and notice of the date, time and place to which the meeting is adjourned must be given in accordance with rule 59.

#### **64 Voting**

- (1) On any question arising at a committee meeting, each committee member present at the meeting has one vote.
- (2) A motion is carried if a majority of committee members present and voting at the meeting vote in favour of the motion.
- (3) Subrule (2) does not apply to any motion or question which is required by these Rules to be passed by an absolute majority of the Committee.
- (4) If votes are divided equally on a question, the Chairperson of the meeting has a second or casting vote.
- (5) Voting by proxy is not permitted.

#### **65 Conflict of interest**

- (1) A committee member who has a material personal interest in a matter being considered at a committee meeting must disclose the committee member's position and the nature and extent of that interest to the Committee.
- (2) The member—

- (a) must not be present while the matter is being considered at the meeting; and
- (b) must not vote on the matter.

**Note**

Under section 81(3) of the Act, if there are insufficient committee members to form a quorum because a member who has a material personal interest is disqualified from voting on a matter, a general meeting may be called to deal with the matter.

- (3) This rule does not apply to a material personal interest—
  - (a) that exists only because the member belongs to a class of persons for whose benefit the Association is established; or
  - (b) that the member has in common with all, or a substantial proportion of, the members of the Association.
- (4) The Committee must keep a conflict of interest register.
- (5) The conflict of interest register must record the following—
  - (a) the name and position of the member who has disclosed a material personal interest;
  - (b) a description of the nature and extent of that interest;
  - (c) a management plan documenting actions required to mitigate the conflict.

**66 Minutes of meeting**

- (1) The Committee must ensure that minutes are taken and kept of each committee meeting.
- (2) The minutes must record the following—
  - (a) the names of the members in attendance at the meeting;
  - (b) the business considered at the meeting;
  - (c) any resolution on which a vote is taken and the result of the vote;
  - (d) any material personal interest disclosed under rule 65.

**67 Leave of absence**

- (1) The Committee may grant a committee member leave of absence from committee meetings for a period not exceeding 3 months.
- (2) The Committee must not grant leave of absence retrospectively unless it is satisfied that it was not feasible for the committee member to seek the leave in advance.

**PART 6—FINANCIAL MATTERS**

**68 Source of funds**

The funds of the Association may be derived from joining fees, annual subscriptions, donations, fundraising activities, grants, interest and any other sources approved by the Committee.

**69 Management of funds**

- (1) The Association must open an account with a financial institution from which all expenditure of the Association is made and into which all of the Association's revenue is deposited.

- (2) Subject to any restrictions imposed by a general meeting of the Association, the Committee may approve expenditure on behalf of the Association.
- (3) The Committee may authorise the Treasurer to expend funds on behalf of the Association (including by electronic funds transfer) up to a specified limit without requiring approval from the Committee for each item on which the funds are expended.
- (4) All cheques, drafts, bills of exchange, promissory notes and other negotiable instruments must be signed by 2 committee members.
- (5) All funds of the Association must be deposited into the financial account of the Association no later than 5 working days after receipt.
- (6) Despite subrule (1), the Committee may authorise the Treasurer to maintain a petty cash fund for minor and incidental expenses of the Association.
- (7) The Treasurer must record the withdrawal or deposit of an amount from the petty cash fund at the time of the transaction.

## 70 Financial records

- (1) The Association must keep financial records that—
  - (a) correctly record and explain its transactions, financial position and performance; and

~~The registered address of the Association is—~~

~~————— (a) the address determined from time to time by resolution of the Committee; or~~

~~————— (b) if the Committee has not determined an address to be the registered address—the postal address of the Secretary.~~

- (b) enable financial statements to be prepared as required by the Act.
- (2) The Association must retain the financial records for 7 years after the transactions covered by the records are completed.
- (3) The Treasurer must keep in the Treasurer's custody, or under the Treasurer's control—
  - (a) the financial records for the current financial year; and
  - (b) any other financial records as authorised by the Committee.

## 71 Financial statements

- (1) For each financial year, the Committee must ensure that the requirements under the Act relating to the financial statements of the Association are met.
- (2) Without limiting subrule (1), those requirements include—
  - (a) the preparation of the financial statements; and
  - (b) if required, the review or auditing of the financial statements; and
  - (c) the certification of the financial statements by the Committee; and
  - (d) the submission of the financial statements to the annual general meeting of the Association; and
  - (e) the lodgement with the Registrar of the financial statements and accompanying reports, certificates, statements and fee.

## PART 7—GENERAL MATTERS

### 72 Common seal

- (1) The Association may have a common seal.
- (2) If the Association has a common seal—
  - (a) the name of the Association must appear in legible characters on the common seal; and
  - (b) a document may only be sealed with the common seal by the authority of the Committee and the sealing must be witnessed by the signatures of 2 committee members; and
  - (c) the common seal must be kept in the custody of the Secretary.

### 73 Registered address

The registered address of the Association is—

- (a) the address determined from time to time by resolution of the Committee; or
- (b) if the Committee has not determined an address to be the registered address—the postal address of the Secretary.

### 74 Notice requirements

- (1) Any notice required to be given to a member or a committee member under these Rules may be given—
  - (a) by handing the notice to the member personally; or
  - (b) by sending it by post to the member at the address recorded for the member on the register of members; or
  - (c) by email or facsimile transmission.
- (2) Subrule (1) does not apply to notice given under rule 60.
- (3) Any notice required to be given to the Association or the Committee may be given—
  - (a) by handing the notice to a member of the Committee; or
  - (b) by sending the notice by post to the registered address; or
  - (c) by leaving the notice at the registered address; or
  - (d) if the Committee determines that it is appropriate in the circumstances—
    - (i) by email to the email address of the Association or the Secretary; or
    - (ii) by facsimile transmission to the facsimile number of the Association.

### 75 Custody and inspection of books and records

- (1) Members may on request inspect free of charge any of the following—
  - (a) the register of members;
  - (b) the minutes of general meetings;

- (c) subject to subrule (2), the financial records, books, securities and any other relevant document of the Association, including minutes of Committee meetings.

**Note**

See note following rule 18 for details of access to the register of members.

- (2) The Committee may refuse to permit a member to inspect records of the Association that relate to confidential, personal, employment, commercial or legal matters or where to do so may be prejudicial to the interests of the Association.
- (3) The Committee must on request make available, or provide copies of, these Rules available to members and applicants for membership free of charge.
- (4) Subject to subrule (2), a member may request a copy of, or make a copy of, any of the other records of the Association referred to in this rule and the Association may charge a reasonable fee for provision of a copy of such a record.

- (5) For the purposes of this rule—

*relevant document* means any record or other document, however compiled, recorded or stored, that relates to the incorporation and management of the Association and includes the following—

- (a) a membership record;
- (b) a financial statement;
- (c) a financial record;
- (d) any other record or document relating to transactions, dealings, business or property of the Association.

## **76 Winding up and cancellation**

- (1) The Association may be wound up voluntarily by special resolution.
- (2) In the event of the winding up or the cancellation of the incorporation of the Association, the surplus assets of the Association must not be distributed to any members or former members of the Association.
- (3) Subject to the Act and any court order made under section 133 of the Act, the surplus assets must be given to a body that has similar purposes to the Association and which is not carried on for the profit or gain of its individual members.
- (4) The body to which the surplus assets are to be given must be decided by special resolution.

## **77 Alteration of Rules**

These Rules may only be altered by special resolution of a general meeting of the Association.

**Note**

An alteration of these Rules does not take effect unless or until it is approved by the Registrar. If these Rules (other than rule 1, 2 or 3) are altered, the Association is taken to have adopted its own rules, not the model rules.

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**A Member may appoint another member as a proxy for the Annual General Meeting**

**FORM OF APPOINTMENT OF PROXY**

I ..... Membership Number .....  
(name)

Contact details.....  
(email address and/or telephone number)

being a member of U3A Ringwood Inc. - Registered No. A0008300C

appoint: ..... Membership No .....  
(name of proxy holder)

Proxy contact details: .....  
(email address and/or telephone number of proxy holder)

being a member of that Incorporated Association, as my proxy to vote for me on my behalf at the annual general meeting of the Association

to be held on

21 May 2024

and at any adjournment of that meeting.

My proxy holder is authorised to vote (please delete what is not applicable):  
in favour / against / or as my proxy sees fit\* as follows:

.....  
.....  
.....  
.....  
.....  
.....  
.....  
.....  
.....  
.....  
.....

Signed: .....

Date: .....

**Note: To assist with the logistics of holding our AGM, members are asked to forward their completed and signed proxy form to the Secretary either by post to U3A Ringwood Inc., 35 - 39 Tortice Drive, Ringwood North 3134 or by email to: [admin@u3aringwood.org.au](mailto:admin@u3aringwood.org.au) to reach the Secretary, no later than 7 days prior to the date of the AGM.**

\*Delete if not applicable

# Nomination for U3A Ringwood Inc. Committee 2024-2025

Position(s) for which nomination is made (tick as appropriate)

- President .
- Vice President .
- Secretary .
- Treasurer .
- Committee Member .

Candidate:

Name ..... Membership No .....

Contact Details: .....  
(email and/or telephone number)

Signature: .....

Proposer:

Name: ..... Membership No: .....

Signature: ..... Contact Details: .....  
(email and/or telephone)

Date: .....

**Note: To assist with the logistics of holding our AGM, members are asked to forward their completed and signed proxy form to the Secretary either by post to U3A Ringwood Inc., 35 - 39 Tortice Drive, Ringwood North 3134 or by email to: [admin@u3aringwood.org.au](mailto:admin@u3aringwood.org.au), to reach the Secretary, no later than 7 days prior to the date of the AGM.**